EDDIE BAZA CALVO Governor



RAY TENORIO Lieutenant Governor

Office of the Governor of Guam

April 12, 2013

Honorable Judith T. Won Pat, Ed.D. Speaker *I Mina'trentai Dos Na Liheslaturan Guåhan* 155 Hesler Street Hagåtña, Guam 96910

Ed. D. ිාක Time and the for

RE: Bill No. 4-32 (LS) - Public Law No. 32-010

Dear Madame Speaker:

Transmitted herewith is Bill No. 4-32 (LS), "AN ACT TO REPEAL AND RE-ENACT ARTICLE 2 OF CHAPTER 20 OF TITLE 5, GUAM CODE ANNOTATED, RELATIVE TO ESTABLISHING THE OFFICE OF TECHNOLOGY" OF 2013", which I signed into law on April 11, 2013, as Public Law 32-010.

Senseramente,

EDDIE BAZA CALVO

Attachment: Copy of Bill



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#### *I MINA 'TRENTAI DOS NA LIHESLATURAN GUÅHAN* 2013 (FIRST) Regular Session

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#### **CERTIFICATION OF PASSAGE OF AN ACT TO I MAGA'LAHEN GUÅHAN**

This is to certify that Substitute Bill No. 4-32 (LS), "AN ACT TO *REPEAL* AND *RE-ENACT* ARTICLE 2 OF CHAPTER 20 OF TITLE 5, GUAM CODE ANNOTATED, RELATIVE TO ESTABLISHING THE OFFICE OF TECHNOLOGY", was on the 1<sup>st</sup> day of April, 2013, duly and regularly passed.

Judith T. Won Pat, Ed.D. Speaker

Attested

Tina Rose Muña Barnes Legislative Secretary

day of Feb This Act was received by I Maga'lahen Guåhan this \_\_\_\_\_ 2013, at

Assistant Staff Officer Maga'lahi's Office

**APPROVED:** EDWARD J.B. CALVO

EDWARE J.B. CALVO I Maga'lahen Guåhan

Date: \_\_\_\_\_ APR 1 1 2013

Public Law No. 32–010

### *I MINA 'TRENTAI DOS NA LIHESLATURAN GUÅHAN* 2013 (FIRST) Regular Session

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#### Bill No. 4-32 (LS)

As substituted by the Committee on General Government Operations and Cultural Affairs; and further substituted and amended on the Floor.

#### Introduced by:

Tommy Morrison B. J.F. Cruz V. Anthony Ada Chris M. Dueñas Michael F. Q. San Nicolas T. C. Ada Aline A. Yamashita, Ph.D. Michael T. Limtiaco Brant T. McCreadie R.J. Respicio Frank B. Aguon, Jr. T. R. Muña Barnes Vicente (ben) C. Pangelinan Dennis G. Rodriguez, Jr. Judith T. Won Pat, Ed.D.

#### AN ACT TO *REPEAL* AND *RE-ENACT* ARTICLE 2 OF CHAPTER 20 OF TITLE 5, GUAM CODE ANNOTATED, RELATIVE TO ESTABLISHING THE OFFICE OF TECHNOLOGY.

#### **BE IT ENACTED BY THE PEOPLE OF GUAM:**

Section 1. Article 2 of Chapter 20 of Title 5, Guam Code Annotated, is repealed

3 *and re-enacted* to read:

#### **"ARTICLE 2**

#### **OFFICE OF TECHNOLOGY**

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#### § 20200. Findings and Purposes.

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2 I Liheslaturan Guåhan finds and declares that information technology is 3 essential to finding practical solutions to the everyday problems of government, 4 and that the management goals and purposes of government are furthered by the 5 development of compatible, linked information systems across government. 6 Therefore, it is the purpose of this Article to create, as an integral part of the Department of Administration, the Office of Technology with the authority to 7 8 advise and make recommendations to all local governmental units on their 9 information systems, and to have the authority to oversee coordination of the 10 government's technical infrastructure.

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#### § 20201. Scope of Authority.

This Article *shall* apply to all agencies of the executive branch of the government of Guam, not including the autonomous agencies as defined by 12GCA. This Article *shall not* apply to the legislative and judicial branches of the government of Guam.

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#### § 20202. Definitions.

As used in this Article:

(a) *Information systems* means computer-based information equipment and related services designed for the automated transmission, storage, manipulation and retrieval of data by electronic or mechanical means;

21 (b) Information technology data processing means and telecommunications software, 22 hardware, services, supplies, personnel, 23 maintenance, and training, and includes the programs and routines used to employ and control the capabilities of data processing hardware; 24

(c) *Information equipment* includes central processing units, front-end
 processing units, microprocessors and related peripheral equipment, including
 data storage devices, networking equipment, services, routers, document

scanners, data entry equipment, terminal controllers, data terminal equipment, and computer-based word processing systems, other than memory typewriters;

(d) *Related services* includes feasibility studies, systems design, software development and time-sharing services, whether provided by government of Guam employees or others;

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6 (e) *Telecommunications* means any transmission, emission or reception 7 of signs, signals, writings, images, or sounds of intelligence of any nature by 8 wire, radio, or other electromagnetic or optical systems. The term includes all 9 facilities and equipment performing those functions that are owned, leased or 10 used by the executive agencies of the government;

Chief Technology Officer means the person holding the position 11 (f)12 created in §20203 of this Article, and vested with the authority to oversee 13 agencies/programs in planning and coordinating information systems that serve and efficiency of the government 14 the effectiveness and individual agencies/programs, and further the overall management goals and purposes of 15 16 government;

17 (g) *Technical infrastructure* means all information systems, information
 18 technology, information equipment, telecommunications, and related services, as
 19 defined in this Section;

(h) *Information technology project* means the process by which
 telecommunications, automated data processing, databases, the internet,
 management information systems and related information, equipment, goods and
 services are planned, procured and implemented;

(i) *Major information technology project* means any information
technology project estimated to cost *more than* One Hundred Thousand Dollars,
or require *more than* three hundred man hours to complete; and

(j) *Steering committee* means an internal agency oversight committee established jointly by the Chief Technology Officer and the agency requesting the project, which shall include representatives from the Office of Technology and *at least* one representative from the agency requesting the project.

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§ 20203. Office of Technology; Chief Technology Officer; Appointment and Qualifications.

7 The Office of Technology is created within the Department of Administration. A Chief Technology Officer shall be appointed by I Maga'låhen 8 9 Guåhan (the Governor) with the advice and consent of I Liheslaturan Guåhan 10 (the Legislature). I Maga'låhen Guåhan may create and empanel an advisory council within the Office of Technology to provide guidance and expertise to the 11 12 Chief Technology Officer and the Office of Technology staff. The Chief Technology Officer shall have knowledge in the field of information technology, 13 experience in the design and management of information systems and an 14 understanding of the special demands upon government with respect to 15 budgetary constraints, the protection of privacy interests and federal and local 16 standards of accountability. 17

18 The candidate for this position *shall* have *at least* five (5) years of 19 managerial experience in the field of Information Technology, Management 20 Information Systems, Financial Information Systems, or closely related field, in a 21 company or governmental agency with revenues in excess of Ten Million Dollars 22 (\$10,000,000).

## § 20204. Powers and Duties of the Chief Technology Officer; Generally.

(a) With respect to all agencies/programs the Chief Technology Officer
may:

(1) develop an organized approach to information resource management for the government of Guam;

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(2) provide, with the assistance of the information/technology/communications services of the Department of Administration, technical assistance to the administrators of the various agencies/programs in the design and management of information systems;

(3) evaluate, in conjunction with the Information Services and Communications Division, the economic justification, system design and suitability of information equipment and related services, and review and make recommendations on the purchase, lease or acquisition of information equipment and contracts for related services by the agencies/programs;

(4) develop a mechanism for identifying those instances where systems of paper forms should be replaced by direct use of information equipment and those instances where applicable government of Guam or federal standards of accountability demand retention of some paper processes;

(5) develop a mechanism for identifying those instances where information systems should be linked and information shared, while providing for appropriate limitations on access and the security of information;

(6) create new technologies to be used in government, convene conferences, and develop incentive packages to encourage the utilization of technology;

25 (7) engage in any other activities as directed by *I Maga'låhi* (the
26 Governor);

(8) charge a fee to the agencies/programs for evaluations performed and technical assistance provided under the provisions of this Section. All fees collected by the Chief Technology Officer *shall* be deposited in a special account in the Government of Guam Treasury to be known as the Technology Office Administration Fund. Expenditures from the Fund *shall* be made by the Chief Technology Officer for the purposes set forth in this Article in accordance with an appropriation by *I Liheslaturan Guåhan*.

9 (9) monitor trends and advances in information technology and 10 technical infrastructure;

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(10) direct the formulation and promulgation of policies, guidelines, standards and specifications for the development and maintenance of information technology and technical infrastructure, including, but *not* limited to:

(A) standards to support the government of Guam and the exchange, acquisition, storage, use, sharing and distribution of electronic information;

(B) standards concerning the development of electronic transactions, including the use of electronic signatures;

(C) standards necessary to support a unified approach to information technology across the totality of the government of Guam, thereby assuring that the residents and businesses of Guam receive the greatest possible security, value and convenience from investments made in technology;

(D) guidelines directing the establishment of government wide standards for the efficient exchange of electronic information

and technology, including technical infrastructure, between the public and private sectors;

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(E) technical and data standards for information technology and related systems to promote efficiency and uniformity;

(F) technical and data standards for the connectivity, priorities and interoperability of technical infrastructure used for homeland security, public safety and health, and systems reliability necessary to provide continuity of government operations in times of disaster or emergency; and

(G) technical and data standards for the coordinated development of infrastructure related to deployment of electronic government services;

(11) periodically evaluate the feasibility of subcontracting
information technology resources and services, and to subcontract *only*those resources that are feasible and beneficial to the government of
Guam;

(12) direct the compilation and maintenance of an inventory of information technology and technical infrastructure, which may include personnel, facilities, equipment, goods and contracts for services, wireless tower facilities, geographic information systems, and any technical infrastructure or technology that is used for law enforcement, homeland security, or emergency services;

(13) develop job descriptions and qualifications necessary to perform duties related to information technology as outlined in this Article;

26 (14) periodically evaluate government wide public
 27 safety/homeland security communications system/network requirements,

inclusive of the "911" system, and report findings and recommendations to *I Maga'lahen Guåhan* and *I Liheslaturan Guåhan*, and provide support for the procurement, installation and operation of such systems/networks; and

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(15) promulgate rules as may be necessary to standardize and make effective the administration of the provisions of Article 6 of this Chapter.(b) With respect to executive agencies, the Chief Technology Officer may:

(1) develop a unified and integrated structure for information systems for all executive agencies;

(2) establish, based on need and opportunity, priorities and time
 lines for addressing the information technology requirements of the
 various executive agencies of the government of Guam;

(3) exercise authority delegated by *I Maga'låhi* (the Governor) by Executive Order to overrule and supersede decisions made by the administrators of the various executive agencies of government with respect to the design and management of information systems and the purchase, lease or acquisition of information equipment and contracts for related services;

 (4) draw upon staff of other executive agencies for advice and assistance in the formulation and implementation of administrative and operational plans and policies; and

(5) recommend to *I Maga'låhi* (the Governor) transfers of equipment and human resources from any executive agency and the most effective and efficient uses of the fiscal resources of executive agencies, to consolidate or centralize information-processing operations.

(c) The Chief Technology Officer may employ the personnel necessary
to carry out the work of the Office of Technology and may approve
reimbursement of costs incurred by employees to obtain education and training.

(d) The Chief Technology Officer *shall* oversee telecommunications services used by agencies/programs for the purpose of maximizing efficiency to the fullest possible extent. The Chief Technology Officer may establish microwave or other networks and hops; audit telecommunications services and usage; recommend and develop strategies for the discontinuance of obsolete or excessive utilization; participate in the renegotiation of telecommunications contracts; and encourage the use of technology and take other actions necessary to provide the greatest value to the government of Guam.

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## § 20204.1. Duties of the Chief Technology Officer Relating to Security of Government Information.

The Chief Technology Officer shall ensure the security of 11 (a) government information and the data communications infrastructure from 12 unauthorized uses, intrusions or other security threats. At a minimum, these 13 14 policies, procedures and standards *shall* identify and require the adoption of practices to safeguard information systems, data and communications 15 16 infrastructures, as well as define the scope and regularity of security audits, and 17 which bodies are authorized to conduct security audits. The audits may include reviews of physical security practices. 18

(b) Annual audits:

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(1) The Chief Technology Officer *shall*, at least annually, perform security audits of all executive branch agencies regarding the protection of government databases and data communications.

(2) Security audits may include, but are *not* limited to, on-site audits, as well as reviews of all written security procedures and documented practices.

26 (c) The Chief Technology Officer may contract with a private firm, or
27 firms, that specialize in conducting these audits.

(d) All public bodies subject to the audits required by this Section *shall*fully cooperate with the entity designated to perform the audit.

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(e) The Chief Technology Officer may direct specific remediation actions to mitigate findings of insufficient administrative, technical and physical controls necessary to protect government information or data communication infrastructures.

(f) The Chief Technology Officer *shall* promulgate rules to minimize vulnerability to threats and to regularly assess security risks, determine appropriate security measures, and perform security audits of government information systems and data communications infrastructures.

11 (g) The Chief Technology Officer *shall* ensure compliance with 12 confidentiality restrictions and other security guidelines applicable to law-13 enforcement agencies, emergency response personnel, and emergency 14 management operations.

(h) The provisions of this Section *shall not* infringe upon the responsibilities assigned to the Public Auditor, or other statutory requirements.

In consultation with the Adjutant General, the Chief of Police, the 17 (i)18 Fire Chief and the Director of the Division of Homeland Security, the Chief 19 Technology Officer is responsible for the development and maintenance of an 20 information systems disaster recovery system for the government of Guam with redundant sites in two or more locations isolated from reasonably perceived 21 22 threats to the primary operations of the government of Guam. The Chief Technology Officer shall develop specifications, funding mechanisms and 23 24 participation requirements for all executive branch agencies to protect the 25 government of Guam's essential data, information systems and critical government services in times of emergency, inoperativeness, or disaster. Each 26 27 executive branch agency *shall* assist the Chief Technology Officer in planning

for its specific needs, and provide to the Chief Technology Officer any information or access to information systems or equipment that may be required in carrying out this purpose. No government-wide or executive branch agency procurement of disaster recovery services may be initiated, let or extended without the expressed consent of the Chief Technology Officer.

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## § 20204.2. Project Management Duties of the Chief Technology Officer

The Chief Technology Officer *shall* be the Project Manager for all technology projects of agencies under the jurisdiction of this Article. The Chief Technology Officer may delegate Project Management duties to an employee or a contract manager in the event that the project's funding sources, such as a grant or specific appropriation, allows funds to be expended for project management.

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# § 20204.3. Major Information Technology Projects Proposals and the Establishment of Steering Committees.

(a) Prior to proceeding with a major information technology project, an
agency *shall* submit a project proposal outlining the business need for the
project, the proposed technology solution, if known, and an explanation of how
the project will support the agency's business objective and the government of
Guam's strategic plan for information technology. The project manager may
require the submission of additional information as needed to adequately review
any proposal.

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- (b) The proposal will further include:
- (1) a detailed business case plan, including a cost-benefit analysis;
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(2) a business process analysis, if applicable;

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(3) system requirements, if known;

(4) a proposed development plan and project management structure;

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(5) business goals and measurement criteria, as appropriate; and

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(6) a proposed resource or funding plan.

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(c) The project manager assigned to review the project development proposal *shall* recommend its approval or rejection to the Chief Technology Officer. If the Chief Technology Officer approves the proposal, then he or she *shall* notify the agency of its approval.

9 (d) Whenever an agency has received approval from the Chief 10 Technology Officer to proceed with the development and acquisition of a major 11 information technology project, the Chief Technology Officer *shall* establish a 12 steering committee.

(e) The steering committee *shall* provide ongoing oversight for the
major information technology project, and have the authority to approve or reject
any changes to the project's scope, schedule or budget.

(f) The Chief Technology Officer *shall* ensure that the major information technology project has in place adequate project management and oversight structures for addressing the project's scope, schedule or budget, and *shall* address issues that cannot be resolved by the steering committee.

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§ 20205. Notice of Request for Proposals by Agencies/Programs Required to Make Purchases Through the Guam General Services Agency.

Any agency/program that pursues an information technology purchase that *does not* meet the definition of a "major technology project," and that is required to submit a request for proposal to the Guam General Services Agency prior to purchasing goods or services, *shall* obtain the approval of the Chief Technology Officer, in writing, of any proposed purchase of goods or services related to its information technology and telecommunication systems. The notice *shall*  contain a brief description of the goods and services to be purchased. The agency/program *shall* provide the notice to the Chief Technology Officer prior to the time it submits its request for proposal to the Guam General Services Agency.

§ 20206. Notice of Request for Proposals by an Agency/Program
Exempted From Submitting Purchases to the Guam General Services
Agency.

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Any agency/program that is not required to submit a request for 7 (a) 8 proposal to the Guam General Services Agency prior to purchasing goods or 9 services *shall* notify the Chief Technology Officer, in writing, of any proposed purchase of goods or services related to its information technology or 10 11 telecommunication systems. The notice *shall* contain a detailed description of the goods and services to be purchased. The agency/program shall provide the notice 12 to the Chief Technology Officer a minimum of ten days prior to the time it 13 14 requests bids on the provision of the goods or services.

If the Chief Technology Officer evaluates the suitability of the 15 (b)16 information technology and telecommunication equipment and related services under the provisions of §20204(a)(3) of this Article, and determines that the 17 goods or services to be purchased are not suitable, he or she shall, within ten 18 19 of receiving the notice from the agency/program, notify the days 20 agency/program, in writing, of any recommendations he or she has regarding the proposed purchase of the goods or services. If the agency/program receives a 21 22 written notice from the Chief Technology Officer within the time period required by this Section, the agency/program shall not put the goods or services out for 23 24 bid less than fifteen days following receipt of the notice from the Chief Technology Officer. 25

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§ 20207. Exemptions.

(a) The provisions of this Article *do not* apply to automonous agencies as defined by 12GCA, *I Liheslaturan Guåhan*, the Judiciary/Public Defender Service Corporation, the Office of Public Accountability, and the Office of the Attorney General.

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5 (b) Notwithstanding any other provision of this Article to the contrary, 6 *except* for participation in the compilation and maintenance of an inventory of 7 information technology and technical infrastructure of the government of Guam 8 authorized by §20204 of this Article, the provisions of this Article *do not* apply 9 to the Guam Department of Education. The Guam Department of Education may 10 cooperate and collaborate with the Chief Technology Officer to the extent 11 feasible.

I Maga'lahen Guåhan may, by executive order, exempt from the

provisions of this Article any entity created and organized to facilitate the public

and private use of health care information and the use of electronic medical

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## records throughout the government of Guam.

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#### § 20208. Voluntary Assistance.

Any branch or agency exempt from the provisions of this Article by 17 §20209(a) may, by memorandum of understanding, avail itself of any of the 18 19 services offered by the Office of Technology if such branch or agency determines that acquiring such services is feasible. Such memorandum of understanding 20 may include fees and costs paid by the branch or agency, except that no fees for 21 22 such services shall be paid by the Office of Attorney General or the Office of Public Accountability. The Chief Technology Officer shall create a schedule of 23 24 fees, rates and other consideration for services rendered to other government of 25 Guam agencies, departments, public corporations and instrumentalities. Such 26 schedule and any changes to such schedule must be approved by I Maga'lahen Guåhan and transmitted to I Liheslaturan Guåhan in Bill form. The fee schedule 27

and any future changes to the fee schedule *shall* be subject to approval by I 2 *Liheslaturan Guåhan* after the following conditions are met: (a) at least one (1) 3 public hearing for the affected agencies on the fee schedules by the Office of Technology pursuant to Chapter 10 of 5GCA; and (b) submission of the fee 4 5 schedule to *I Liheslaturan Guåhan*, inclusive of the minutes of such hearing(s) 6 on the fee schedules. Prior to initiating services requested by an agency where 7 fees, rates or other consideration are required, the work order for such services must be certified for availability of funds and approved by the agency's 8 approving officer. 9

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#### § 20209. Applicability of Succession of Article.

All references to Automatic Data Processing Act as contained in 11 (a) Article 2 of Chapter 20 of 5GCA prior to the enactment of Section 1 of this Act 12 shall henceforth refer to the Office Of Technology as enacted by Section 1 of this 13 Act. The Compiler of Laws shall make appropriate changes in the codification 14 of public law consistent with this Section. 15

16 (b) The Office Of Technology *shall* assume all obligations, required by contract, memoranda of understanding, rule, regulation and executive order, of 17 the Department of Administration as related to the operation of Article 2 of 18 19 Chapter 20 of 5GCA prior to the enactment of Section 1 of this Act.

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#### § 20210. Semi-Annual Reporting.

The Chief Technology Officer shall submit a report to I Liheslaturan 21 Guåhan every six (6) months on the activities of the Office of Technology." 22

#### Disposition of Department Of Administration Data Processing 23 Section 2. 24 **Division.**

All funds appropriated for Fiscal Year 2013 for the operations of the 25 26 Department Of Administration's Data Processing Division shall be administered by the Office Of Technology. Control over appropriation *shall* remain with the 27

Director of Administration until such time that an individual is appointed to fill
 the Chief Technology Officer position.

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Section 3. Severability. If any provision of this Act or its application to any person or circumstance is found to be invalid or contrary to law, such invalidity *shall* not affect other provisions or application of this Act which can be given effect without the invalid provisions or application, and to this end the provisions of this Act are severable.